

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete If Known

Application Number	09/023,259
Filing Date	February 13, 1998
First Named Inventor	Wallace A. Ritchie et al.
Group Art Unit	2626
Examiner Name	Han, Qi
Attorney Docket Number	101102-0002

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,286,329	A	Goertzel et al.	08-01-1981	
	2	4,684,926	A	Yong-Min	08-01-1987	
	3	5,109,352	A	O'Dell	04-01-1992	
	4	5,187,480	A	Thomas et al.	02-01-1993	
	5	5,197,810	A	Zhang et al.	03-01-1993	
	6	5,304,988	A	Seto et al.	04-01-1994	
	7	5,586,198	A	Lakritz	12-01-1996	
	8	5,860,075	A	Hashizume et al.	01-01-1999	
	9	5,950,048	A	Seto et al.	07-01-1999	
	10	6,005,549	A	Forest	12-01-1999	
	11	6,011,554	A	King et al.	01-01-2000	
	12	6,014,625	A	Lee	01-01-2000	
	13	6,028,959	A	Wang et al.	02-02-2000	
	14	6,054,941	A	Chen	04-01-2000	
	15	6,148,104	A	Wang et al.	11-01-2000	
	16	6,172,625	B1	Jin et al.	01-01-2001	
	17	6,307,548	B1	Flinchen et al.	10-01-2001	
	18	6,636,162	B1	Kushler et al.	10-01-2003	
	19	6,646,573	B1	Kushler et al.	11-01-2003	
	20	6,795,579	B1	Tang et al.	09-01-2004	
	21	4,937,745		Carmon	06-26-1990	
	22	2002/0136499	A1	Guo	09-01-2003	
	23	2004/0163032	A1	Guo et al.	08-01-2004	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	1	CN	1180187	A		04-01-1998		
	2	JP	62-180458			08-01-1987		
	3	JP	05-081482			04-01-1993		
	4	JP	08-305701			11-01-1996		
	5	JP	11-328312			11-01-1999		
	6	WO	WO2004/111812			03-01-2006		

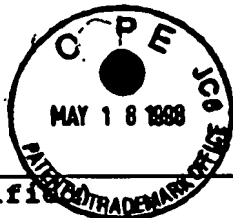
Examiner Signature

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



3-83

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
1646-007A

SERIAL NO.
09/023,259

**LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

APPLICANT
Ritchie et al.

(Use several sheets if necessary)

FILING DATE
February 18, 1998

GROUP
~~2744~~ 2747

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA 5 3 6 0 3 4 3	11/1/94	Tang	434	118	98 JUN - 1 AM 9:32
	AB 5 4 7 5 7 6 7	12/12/95	Du	392	185	
	AC 5 4 8 8 3 6 3	1/30/96	Peng	391	28	
OH	AD 5 5 2 4 1 9 8	6/4/96	Matsumoto et al.	345	340	
	AE 5 5 4 8 6 3 4	8/20/96	Gahang et al.	379	93.27	
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
AL					
AM					
AN					
AO					
AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	
AS	
AT	

EXAMINER

JOSEPH THOMAS

DATE CONSIDERED

9/13/99

6/19/07

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.